

Quarterly Activity Report July-September 2009



Division of Information & Research



Imaging Branch

Total Sheets Scanned: **431,423**

Equates to:

Total Documents Scanned: **51,953**

Micrographics

Microfilm Requests: **7**

Total Sheets Printed from microfilm: **38,404**

Open Records Section

Written Requests: **3,232**

Walk-In Requests: **0**

Social Security: **169**

Pre-Employment: **4,096**

Fish & Wildlife: **0**

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Records Branch

EDI Section

Manually Added First Reports:

Claims: **298**

Agreements: **85**

Medical Fee Disputes: **4**

EDI Received: **8,574**

Data Entry Section

Mail Received: **24,652**

Orders: **7,236**

Opinion/Awards: **420**

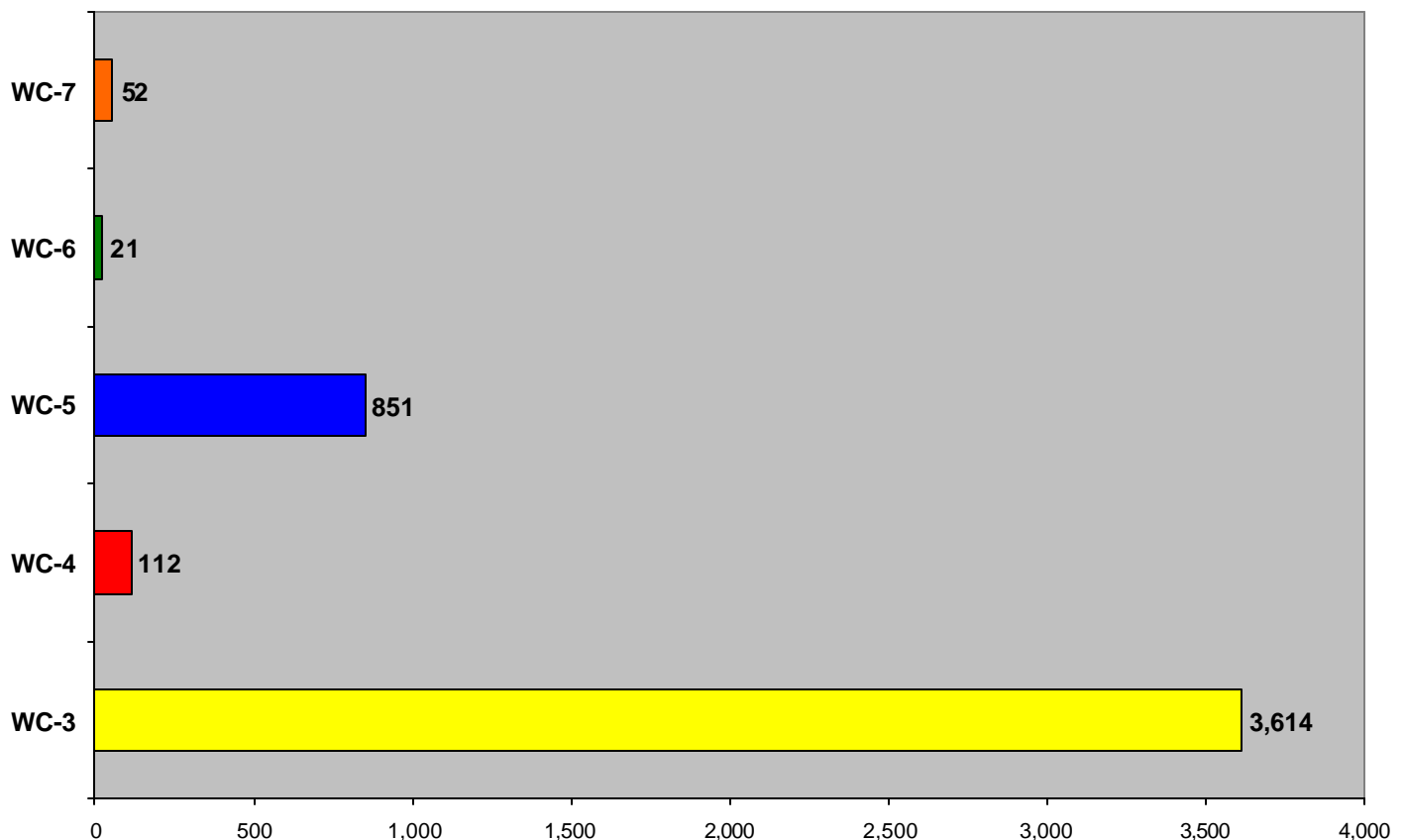
Docket Orders: **1,230**

Research Section

Mail Received: **457**

Web Calls/Emails: **270**

Statute Letters Mailed



Administrative Law Judges

Benefit Review Conferences	1,187
Formal Hearings	554
Opinions	493
Remands	18

Appeals Section

Appeals to the Board: **77**

Final dispositions by Board: **22**

Opinions rendered by board members:

Stivers	28
Gardner	25
Cowden	26
Total:	79

Appeals to Court of Appeals:	33
Records sent to court:	26
Court orders final disposition:	2
Court of Appeals opinions:	22

Appeals to Supreme Court:	9
Court orders final disposition:	2
Supreme Court opinions:	1

Office of General Counsel

The Office of General Counsel received **245** Enforcement citation cases for this quarter.

19 UCP cases

3 Fraud case

Enforcement fine and penalties collected: **\$372,292.32**

Show Cause fines and penalties collected: **\$17,750.00**



QUARTERLY ACTIVITY

Lost Time First Reports of Injury	7,525	Dismissals	156
Claims Assigned	1,559	Re-openings (medical)	296
Pre-litigated Agreements	1,012	Re-openings (overruled)	23
Awards	143	Re-openings (sustained)	46
Agreements	788	Re-openings (motion docket)	235

Distribution by Body Part (Top Ten)

Claims

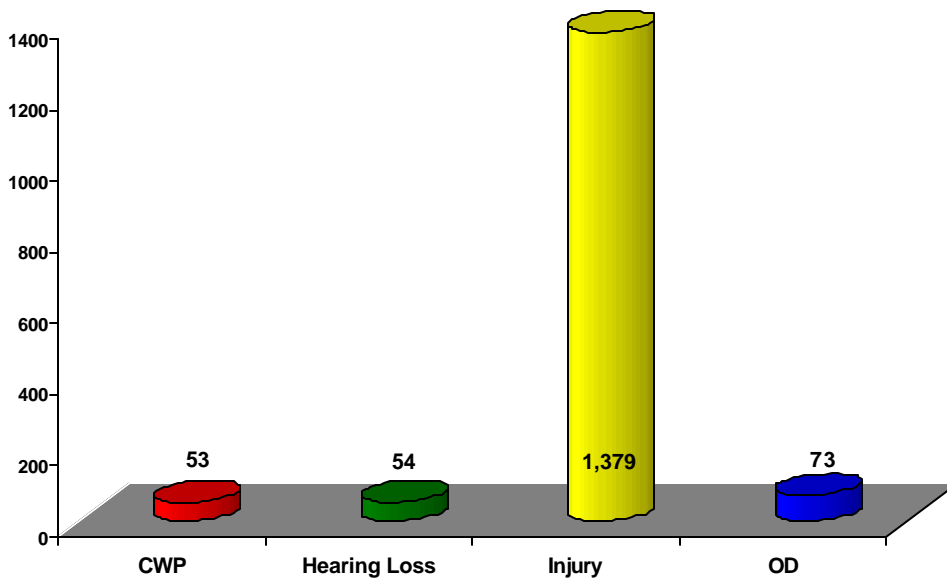
Low Back	323
Multiple Body Parts	297
Shoulder	133
Knee	104
Ears	55
Lungs	52
Disc	40
Wrist	39
Multiple Upper	39
Extremities	39
Soft Tissue	35



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Lower Back	1,173
Multiple Body Parts	770
Knee	677
Shoulder	638
Fingers	379
Hand	349
Ankle	318
Wrist	252
Foot	247
Lower Arm	212

Distribution of Claims by Type



Claims figures are based on date of filing.

Top 10 Causes of Injury Claims

Fall or Slip	356
Lifting	262
Motor Vehicle	162
Strain or Injured By	130
Pushing/Pulling	88
Repetitive Motion	69
Falling or Flying Object	62
Absorption, Ingestion or Inhalation	59
Continual Noise	53
Other Injury (NOC)	44

Distribution of Claims by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	23	253
Mining	231	427
Construction	166	588
Manufacturing	296	1,268
Public Utilities & Transportation	156	626
Wholesale Trade	63	307
Retail Trade	187	1,105
Finances, Insurance and Real Estate	21	123
Services	329	2,269
Public Administration	70	551
Unclassified	17	8

Division of Claims Processing

Claims/Review Assignment

New claims	1,062
New claims to the judges	1,260
Motion docket cases	263

Agreements

First report agreements	1,092
Total Agreements Approved by ALJ's	1,959
Requests for widow's benefits	36

Total files returned for the period July-Sept, 2009 was **939**.

Number of cases purged was **965**.

Division of Ombudsmen and Workers' Compensation Specialists Services

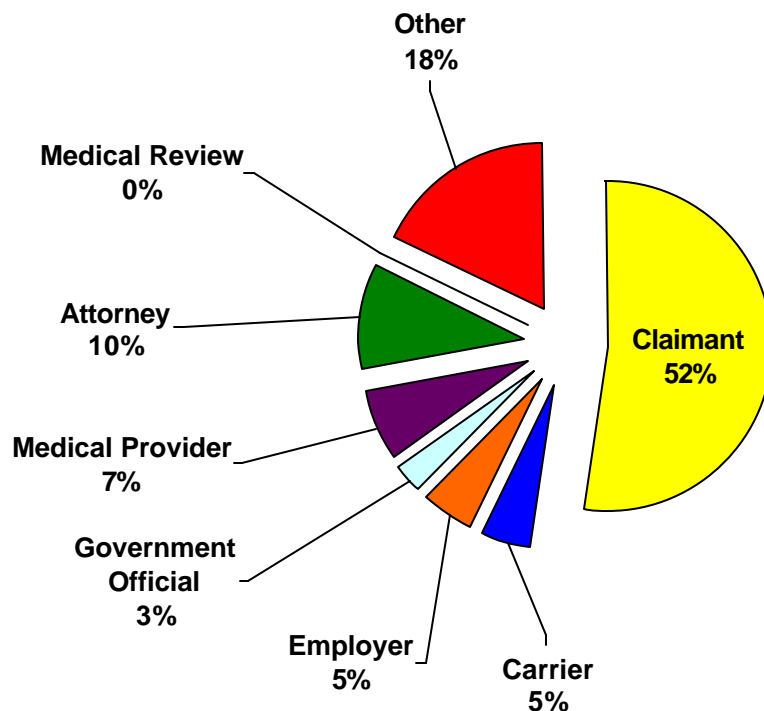
Mediation

Intervention Requests: **219**
Intervention Completed Successfully: **49**

Claims

Assisted Claims filed: **4**
Number of other forms assistance: **14**

Sources of Request of Assistance



Medical Evaluations

The number of shipments to B-reader's for HB 348 during the July-September 2009 quarter:

1st B-reader: 15
 2nd B-reader: 17
 3rd B-reader: 19
 Total: 51



University of Kentucky	Jul-09	Aug-09	Sep-09
Asbestosis-061	3	0	1
Respiratory-065	0	1	1
All other OD	0	0	1
Hearing Loss-072	14	10	15
Injury & Dermatitis	1	1	2
TOTAL	18	12	20
University of Louisville	Jul-09	Aug-09	Sep-09
Asbestosis-061	1	0	0
Respiratory-065	2	0	0
Chem/metal pos.-066-067	0	2	2
Hearing Loss-072	0	1	1
Injury & Dermatitis	3	5	4
TOTAL	6	8	7

Division of Security & Compliance

Self-Insurance Branch

The Self-Insurance Branch reviews the financial strength of individual self-insured employers and determines the surety requirements necessary to cover their outstanding workers' compensation liabilities. Self-Insurance auditors utilize independent resources such as business periodicals, regional and national newspapers and internet business sites to monitor the financial condition of self-insurers. Currently, there are 144 self-insured companies in the state of Kentucky.

Enforcement Branch

	<u>July</u>	<u>August</u>	<u>September</u>	<u>Totals</u>
Investigations	1,079	870	1,094	3,043
Citations Issued	85	90	94	269
Penalties Collected	\$147,232.53	\$136,095.74	\$150,059.05	\$433,387.32
Form 4's Processed	389	449	397	1,235

Coverage Branch

Transactions processed 115,904

Acceptance Rate 85%



The Technical Support & Design and Development Section

The Technical Support & Design and Development Section respond to all technical, networking and programming needs for the Department of Workers' Claims. The Technical Services Section responded to 492 requests and 232 helpdesk issues were completed.

Technical staff completed the following: Upgraded data backbone with new Nortel 5530 switch and established a direct fiber link between the Department and the Labor Cabinet to add network speed and redundancy for imaging and future shared resources. Staff worked on biennial budget and designed and implemented phone system infrastructure upgrades for future growth. Technical Support staff implemented Virtual servers for cost reduction in maintenance and energy cost and assisted with the technical aspects of a data sharing agreement with the Social Security Administration. Surplus equipment was sanitized and documented in preparation for surplus delivery. During this quarter, staff assisted with A/V needs for Workers' Claims personnel and continued to work with the Cabinet to streamline Firewall rules.



Design and Development's Major Projects Completed and/or Activity

The Design and Development Section maintained and supported the SIMBA, EDI and POC programs. The staff logged and tracked issues in Test Track System when issues were reported and completed 82 Test Track issues and received 67 new issues for this quarter. EDI build 1.7.0, EDI patch, SIMBA builds 2.4.0 & 2.4.1, and POC Importer builds 1.0.7, 1.0.8, 1.1.0 and 1.1.1 were installed. SIMBA reports build 2009.09.24 was installed. The EDI Release 3.0 project was analyzed and discussed. Staff converted old VB SIMBA screens to .NET, worked on the biennial budget and ran queries for in-house staff when requesting specific data from SIMBA.